

# PATENT APPLICATION

PTO/SB/08A MODIFIED

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>10/113,840 Complete if Known</b> Application Number    Continuation of 09/690,903 Filing Date            Nov. 14, 2003 First Named Inventor   Allen Gorin et al. Group Art Unit         2655 Examiner Name         Daniel Abebe Attorney Docket Number   1999-0679A - Con	
Sheet	1	of	3		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code* (if known)			
DA	1	4,777,600		Saito et al.	10-11-98	
	2	4,866,778		Baker	09-12-89	
	3	4,903,305		Gillick et al.	02-20-90	
	4	5,029,214		Hollander	07-02-91	
	5	5,033,088		Shipman	07-16-91	
	6	5,099,425		Kanno:Yuji et al.	03-24-92	
	7	5,212,730		Wheatley et al.	05-18-1993	
	8	5,384,892		Strong	06-24-95	
	9	5,390,272		Repta et al.	02-14-95	
	10	5,434,906		Robinson et al.	07-18-95	
	11	5,457,768		Tsuboi et al.	10-10-95	
	12	5,666,400		McAllister et al.	09-09-97	
	13	5,675,707		Gorin et al.	10-1997	
	14	5,719,921		Vysotsky et al.	02-17-98	
	15	5,794,193		Gorin	08-1998	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*
		Office*	Number (if known)	Kind Code*				
Examiner Signature					Date Considered	6/29/05		

\*EXAMINER: Initial if referenced considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  
<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

10/713,840 Complete if Known

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number	Continuation of 09/690,903
Filing Date	Nov. 14, 2003
First Named Inventor	Allen Gorin et al.
Group Art Unit	2655
Examiner Name	Daniel Abebe
Attorney Docket Number	1999-0679A-Con

Sheet 2 of 3

**U.S. PATENT DOCUMENTS**


Examiner Initials*	Cite No.*	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code* (if known)			
DA	16	5,832,480A		Byrd, Jr. et al.	11-03-1998	
	17	5,839,106		Bellegarda	11-17-98	
	18	5,860,063		Gorin et al.	01-1999	
	19	5,905,774		Tatchell et al.	05-18-99	
	20	6,021,384		Gorin et al.	02-01-00	
	21	6,023,673		Bakis et al.	02-08-00	
	22	6,044,337		Gorin et al.	03-28-00	
	23	6,173,261 B1		Arai et al.	01-09-01	
	24	6,192,110 B1		Abella et al.	02-20-01	
	25	6,233,553		Contolini et al.	05-2001	
	26	6,311,152 B1		Bai et al.	10-30-2001	
	27	6,317,707		Bangalore et al.	11-2001	

Wm. Allen

6/29/05

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		10/713,840 Complete If Known		
		Application Number	Continuation of 09/690,903	
		Filing Date	Nov. 14, 2003	
		First Named Inventor	Allen Gorin et al.	
		Group Art Unit	2655	
		Examiner Name	Daniel Abebe	
Sheet	3	3	Attorney Docket Number	1999-0679A-Con

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	<del>A. L. GORIN et al. "HOW MAY I HELP YOU?", AT&amp;T Labs Research, pg 1-2 and 4, as it was to appear in the Proc. Of IVITA, Philadelphia, October 1996</del>	<input type="checkbox"/>
DA	2	Patent Application for 08/943,944, METHOD AND APPARATUS FOR GENERATING SEMANTICALLY CONSISTENT INPUTS TO A DIALOG MANAGER, FILED October 3, 1997, by Alicia ABELLA, et al.	<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>

Examiner Signature		Date Considered	6/29/05
--------------------	---	-----------------	---------

\*EXAMINER: Initial if referenced considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number <sup>2</sup> Applicant is to place a check mark here if English language translation is attached.  
 SEND TO: Commissioner for Patents, Mail Stop: Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450